## **Holding of Specified Securities**

## Annexure - I

- 1. Name of Listed Entity: | SARTHAK METALS LIMITED
- 2. Scrip Code/Name of Scrip/Class of Security
- 3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
- a. If under 31(1)(b) then indicate the report for Quarter ending 23-03-2017
- b. If under 31(1)(c) then indicate date of allotment/extinguishment
- 4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		-
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		-
3	Whether the Listed Entity has any shares against which depository receipts are issued?		
4	Whether the Listed Entity has any shares in locked-in?	Yes	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		<u>u</u>

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



hour



Total 510		Sharpe haid by Employee Triefe	(C2) Shares held by Employee Truste	35	35	Promoter & Promoter Group Public Non Promoter-Non Public Nanes underlying DRs States Underlying DRs	Promoter & Promoter Group  Public  Non Promoter-Kron Public  Nanes underlying DRs  States Underlying DRs	Promoter & Promoter Group  Public  Non Fromoter-Non Public  Nanes underlying DRs  States underlying the Frontiers	Promoter & Promoter Group 15  Public 495 Non Promoter-Non Public 0 Shares underlying Drs 0 Strates Underlying Drs 0	Promoter & Promoter Group 15  Public 495 Non Promoter-Non Public 0 Shares underlying Dris 0 Shares underlying Dris 0 0	Promoter & Promoter Group  15  Public  Non Promoter-Won Public  Non Promoter-Won Public  0  Shares underlying Drss  0  Shares underlying Drss  0  Charles Tueste  0	Promoter & Promoter Group  Promoter & Promoter Group  Public  Non Fromoter-Non Public  Shares underlying DRs  Shares underlying DRs  Shares underlying DRs  O  O  O  O  O  O  O  O  O  O  O  O  O	gory shareholder Share (II) holders (III) holders (III) (III) (III) Promoter & Promoter Group 15 Public Non Fromoter-Mon Public 0 Shares underlying Dris 485 0 Shares underlying Dris 0 0
	its 0		0	0 0	495 4209800 0 0 0 0						0 0 5 5	0 0 5 5	0 0 5 5
0		0 0		0 0	П	9479950 4209800 0	9479950 4209600 0	9479950 4209600 0	(N) (N) 9479950 4209800	Share Share held held (N)	equity equity Share Share held held held held (N) (V) (V) 4209800 0	paid up paid-up equify share share held held (N) (N) (N) 9479950 4209600 0	Fully Party Pald up equity equity Share share held (N) (N) 9479950 4209800
00	-	9	0		0	0 0			0 0	Receipts (M)	Papository Receipts (VI)	nunderlying pepository Receipts (VI)	shares underlying Depository Receipts (VI)  0 0 0
00	0		0	4209800				9479950	9479950	(N)+(V)+(VI) 9479950	(ハ)+(ハ)+(ハ) (ハ)+(ハ)+(ハ) 9479950	held (ハリー (ハリー (ハ)+(ハ)+(ハ) 9479950	shares held (VII)= (TV)+(V)+(VI)
0 5	15	NA.	0	30,7515 4		69.2485 9479950	69.2485 \$	69.2485	(VIII) As a % of (A+B+C2) 69:2485 9	Per SCRR, 1957) (VIII) As a % of (A+B+C2) (98, 2485) 9	(calculated as per SCRR, 1987) (VIII) As a % of (A+B+C2) 69.2485 9	(calculated as per SCRR, 1957) SCRR, 1957) As a % of (A+B+C2) 69.2485 9	as a % of total no. of shares (calculated as par SCRR, 1987) (VIII) As a % of (A+B+C2) 69.2485 9
0		0	0	0008075	= 1	9479950							3
0		0	0	0 4209800		0 9479950	Class Total Others V 0 9479950	9	0 4				
0.0000		0,0000	0.0000	30,7515		69,2485	69 2485	185	6	85	86	86	Total 95 a 95 a % of (A+B+C) 69 2486
0		0	0	0	0			3	(Including Warrants) (X)	convertible securities (Including Warrants)			Shares Underlying Outstating convertible securities (Including Warrants) (X)
0,000	1	0 0000	0.0000	30.7515	69.2485		7	As a % of (A+B+CZ)	of diluted share capital (A)	securities( as a "%  of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+CZ)	of convertible securities as a % of diluted share capital (X)=(X)=(X)=(X)+(X) = (X)+(X) = (X)+(X)+(X) = (X)+(X)+(X)+(X)+(X)+(X)+(X)+(X)+(X)+(X)+	full conversion of conversible securities( as a % of diluted share capital (XI)=(VII)+(X) As a % of (A+B+CZ)	as a % assuming full conversion of convertible securities( as a % of diluted share capital) (XI)=(VII)+(XI) As a % of (A+B+CZ)
	2	0	0	£73800	9479950	1		€ P	9 \$	節節	e to	Shar (XII)	Locke shar (XI)
0,000		0.0000	0.0000	4.1915	69.2485		(b)	As a % of motal shares held (b)	As a % of notal shares held (b)	As a % of Document of the Control of	As a % of notes held (D)	As a % of notal shares hold D	eas g As a % of rotal shares held (b)
NA		NA	NA	NA	NA.			2 %			2 5	3 2 %	3 2 5 4
200		N.	N.	NA	3		(b)	As a % of total shares held (b)	N of s held	t held	e held	a peig	e beig R of
		0	0	4209800	9479950				Oux	dematerialized form (XIV)	in paterialized form (XIV)	hares held in naterialized form (XN)	equity shares held in kematerialized form (XIV)

(c) Institutions (1) PAN would not be displayed on website of Stock Exchange(s).
(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011. Details of Shares which remain unclaimed may be given hear along with details such as number Note: 2 Foreign (a) Individuals(Non-Resident Individuals/Foreign Individuals) (b) Government (c) Financial Institutions/Banks (b) Central Government/State Government(s) (d) Any Other(DIRECTORS RELATIVES)
CHAMMILAL KHUSHALJI SHAH
DESHRAJ BANSAL,
MANOJ KUMAR BANSAL HUF,
KIRTI BANSAL
SHALINI SANJAL
SHALINI SANJALY SHAH
CHAMPABEN CHAMANLAL SHAH Total
Sub-Total (A)(2)
Total Shareholding of Promoter and Promoter Group (A) =
(A)(1)+(A)(2) SARTHAK ENERGY PVT LTD
METAL GRACE INJECTION
ALLOYS PRIVATE LIMITED I) Individuals/Hindu undivided Family
KISHORE KUMAR BANSAL
MANOJ KUMAR BANSAL
ANOOP KUMAR BANSAL
SANJAY CHAMANLAL SHAH Total Sub-Total (A)(1) 9479950 9479950 247500 540000 213300 144000 231300 237000 222500 261000 277200 741000 3782700 1145250 535950 901250 1250 6204900 of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. 741000 3782700 1145250 535950 6204900 9479950 247500 540000 213300 144000 231300 237000 227500 261000 277200 901250 1250 900000 17.3400 2373800 69.2485 9479950 5.4128 741000 27.6316 3782700 8.3657 1145250 3.9150 535950 45.3252 6204900 1.8079 247500 3.9446 540000 1.5881 213300 1.0519 144000 1.6896 231300 1.7312 237000 1.6252 261000 2.0249 277200 0.0000 0.0000 0.0000 69.2485 0.0091 1250 6.5743 900000 0.0000 0.0000 0.0000 0.0000 0.0000 6.5834 901250 9479950 Equity X 00 00 Class Others 0 741000 5.4128 0 3782700 27.6316 0 1145250 8.3657 0 535950 3.9150 0 6204900 45.3282 0 2373800 17.3400 0 9479950 69.2485 0 247500 1.8079 0 540000 3.9446 0 213300 1.5681 0 1.44000 1.5518 0 231300 1.5896 0 237000 1.5395 0 225000 1.2353 0 281000 1.9065 0 277200 2.0249 901250 6.5834 9479950 1250 900000 0 0.0000 0 0.0000 0 0.0000 0 0.0000 0.0000 0.0000 0.0000 0.0000 69.2485 0.0091 00 5,4128 "41000 27,6316 3"82700 8,3657 1,45250 3,9150 4,53650 45,3252 6,264900 0.0000 0.0000 0.0000 69.2485 0.0000 0.0000 17.3400 2373800 69.2485 9473950 0.0000 0.0000 0.0000 0.0091 6.5743 6.5834 247500 343000 213300 44000 231300 237000 237000 251000 2177200 901250 1250 ••• META 0 0,0000 0 0.0000 5.4128 27.6316 8.3657 3.9150 45.3252 As a % of total shares held (b) 17.3400 69.2485 0.0000 0.0000 0.0000 69.2485 0.0000 0.0000 0.0091 6.5743 1.8079 3.9446 1.5581 1.0519 1.6896 1.7312 1.9065 2.0249 6.5834 0.0000 0 0.0000 As a % of total shares held (b) 0.0000 0.0000 0.0000 0.0000 0.0000 0.0000 0.0000 0.0000 0.0000 2373800 9479950 6204900 9479950 901250 900000

	20	helo	Share	equit	paid	full	No of	
		_	8	7	d	_	,	
		3	held	Share	equity	paid-up	Partly	
		3	Receipts	Depository	underlying	shares	No. of	
			(W+W+W)	(VI)=	held	shares	Total nos.	
	(A+B+C2) (MII)	As a % of	SCRR, 1957	as per	% calculated	9	Shareholdin	
Class							N	
Class	No of Voling Rights			(SCI)	secui	held in each class of	umber of V	The second secon
Total				٥	ities	h class o	oting Rig	
	Total as a % of (A+B+C)					4	hts	
	(including Warrants)	securities	convertible	Outstating	Underlying	Shares	No. of	-
(A+B+C2)	of diluted share capital) (XI)=(VII)+(X) As a % of	%	securities( as a	of convertible	full conversion	as a % assumin	Shareholding,	
-	-	_	_	_	_	9	CS.	

Category & Name of the shareholders

(II)

Share holders

2.7

ES

Number of Locked in shares (XII)

Number of Shares pledged or otherwise encumbered (XIII)

Number of equity shares held in dematerialize d

(NOX)

Total

(e) Any Other(BODIES CORPORATE)

BHILA ITECHNO PRIVATE LIMITED

GUINESS SECURITIES LIMITED

(e) Any Other(NON RESIDENT INDIANS (NRI)) GOPAL AGRAWAL
MAYUR BHATT
SANUAY POPATIAL JAIN
SUNIL KUMAR PYARELAL AGARWAL
(b) NBFCs registered with RBI
(c) Employee Trusts
(d) Overseas Depositories (holding DRs) (balancing figure) (1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: (a) Central Government/ State Government(s)/Pesident of India Details of the shareholders acting as persons in Concert including their Shareholding (No. and %); 0

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. 2) (2) ± (a) D) Venture Capital Funds
c) Alternate Investment Funds
c) Alternate Investment Capital Investors
(e) Foseign Pontfolio Investors
(e) Foseign Pontfolio Investors
(f) Financial Institution/Banks INDIVIDUAL - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. SUB TOTAL (B)(2)
Non-institutions
Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. SUB TOTAL (B)(3)
Total Public Shareholding (B) = Institutions (B)(1)+(B)(2)+(B)(3) Category & Name of the shareholders tions holding more than 1% of total number of shares 3 PAN No.s of Share holders 495 474 5 No. of fully paid up equity Share held 4209800 4209800 2169250 1612550 Partly
pald-up
pald-up
equity
Share
held
(V) Table III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER No.s of shares underlying Depository Receipts (VI) Total nos.
shares
held
(MI)=
(IV)+(V)+(VI) 4209800 4209800 1612550 2169250 Shareholdin
g
% calculated
as per
SCRR,1957)
As a % of
(A+B+C2)
(VIII) 2.9219 400000 1.1980 164000 1.4317 196000 0.2045 28000 0.0000 15.8458 0.0000 0.0000 4209800 1612550 2169250 Equity Number of Voting Rights held in each class of securities (IX) No of Voting Rights Others 0 150000 1.1588 0 454050 3.3167 0 232000 1.16947 0 150000 1.1588 0 0 0.0000 0 0.0000 0 0.0000 4209800 4209800 400000 2.9219 164000 1.1980 196000 1.4317 28000 0.2045 2169250 1612550 Total 0 0.0000 0 0.0000 0 0.0000 0 0.0000 0 0.0000 0 0.0000 0 0.0000 0 0.0000 30,7515 15.8458 11.7793 0.0000 0.0000 Total as a % of Total Voting Rights No. of Shares Underlying Outstaling convertible securities (including Warrants) Sharehombry
Sharehombry
att a % assuring
att a % assuring
att a % assuring
att a % assuring
att conversion
of conversion
percentage
of diluted Smare
capits,
(A)-(A)(-(A)
As a % att
(A+B+C)) # 76 6 # 75 5 C9000 -1753 STATE OF C.0000 1.1377 1.1377 1.028 5.31.57 1.6987 1.1688 C#000 Number of Locked in shares (XII) 572550 Ē 1250 As a % of total shares hold (b) 0.0000 4.1824 0.0091 0.0000 4.1918 0.0000 No. (Not applicable) Number of Shares pledged or otherwise encumbered (XIII) 22222222 33 N N N N 388888 N 3 Š ξ 3333 2888888 NA š 2 ž 3888888 Number of equity shares held in dematerialize d form (XIV) 1612550 2169250

SATHAY DE CO

Category & Name of the Shareholders					1 Custodian/DR Holder	1 Custodian/DR Holder	1 Custodian/DR Holder  1 Custodian/DR Holder  0 0 0 2 Employee Benefit Trust (under SEB) (Share based Employee Benefit) Requiations, 2014)	1 Custodian/DR Holder	1 Custodian/DR Holder  2 Employee Benefit Trust (under SEB)  Torial Non Promoter- Non Public Shareholding (C)=(C)(1)+(C)(2)	1 Custodian/DR Holder  2 Employee Benefit Trust (under SEBI (Share based Employe 1 Total Non Promoter- Non Public Shareholding (C)=(C)(1)+(C)(2) Note (PAN would not be displayed on website of Stock Exchange(s) (2) The above format needs to disclose barne of all holders holding	1 Custodian/DR Holder  1 Custodian/DR Holder  2 Employee Benefit Trust (under SEB (Share based Employee Benefit) Regulations, 2014)  1 Total Non Promoter.  Non Public Shareholding  (C)=(C)(1)+(C)(2)  Note  (I) PAN would not be displayed on website of Stock Exchange(s).  (I) PAN would not be displayed on website of Stock Exchange(s).  (2) The above format needs to disclose barne of all holders holding more than 1% of total number of shares (a) M <sub>4</sub> .t. the information betalaning to Depository Receipits, the same may be disclosed in the respective to	1 Custodian/DR Holder  2 Custodian/DR Holder	1 Custodian/DR Holder 2 Employee Benefit Trust (under SEBI 2 Employee Benefit Trust (under SEBI 1 Total Non Promoter- Non Public Shareholding (C)=(C)(1)+(C)(2) Note (C) PAN would not be displayed on websit (2) The above format needs to disclose ba (3) W.r.t. the information pertaining to Dar	1 Custodian/DR Holder  1 Employee Benefit Trust (under SEB)  2 Employee Benefit Trust (under SEB)  1 Total Non Promoter.  Non Public Shareholding (C)=(C)(1)+(C)(2)  (C)=(C)(1)+(C)(2)  Note (1) PAN would not be displayed on websit (2) The above format needs to disclose bat (3) W.r.t. the information pertaining to Dec.	1 Custodian/DR Holder
(II)							(Share based	I (Share based	(Share based	Share based	Share based	Share based  Stock Exc  ame of all holds  consitory Receiv	te of Stock Exc	Share based	I (Share based) te of Stock Exc ame of all holds costiony Receit
No. of Share holders (III)				2	0	Employee Ben		0	00	hange(s).	0 0 0 hange(s), irs holding mo	0 0 hange(s), lts. the same m	ts. the same m	hange(s). Its. the same m	hange(s).
No. of fully paid up equity Share held	(N)			No. ST	0	efit) Regulatio	3	c	00	re than 1% of 1	o 0 re than 1% of 1	0 0 1 than 1% of 1 1 tay be disclose	o 0 1 than 1% of 1 1 tay be disclose	re than 1% of t	o 0 0 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
Partly paid-up equify Share held	3	Yel Ji			0	ns, 2014)	0		0	0 total number of	total number of	0 total number of	otal number of	total number of	otal number of
No.s of shares underlying Depository Receipts	3				0		0	0		f shares.	shares.	shares.	shares.	shares.	shares.
Total no. shares held (VII)= (IV)+(V)+(VI)		14 5-11			0		0	0			to the extent in	to the extent in	to the extent in	to the extent in	to the extent in
Shareholdin g % calculated as per SCRR,1957) As a % of	(A+B+C2) (VIII)				0.0000					damenting agent	TOTHER HOUSE	dollination avail	Tollhauon ava	ioillianon avai	ion ava
	A.		Class		0		0	0			lable.	lable.	lable.	lable.	abie
Number of Votting Rights held in each class of securities (IX)	No of Voting Rights		Class Yotal Others		0		0	0							
Rights ISS Of	Total as a % of	(A+B+C)	£		0,0000		0 0.000	0 0,0000		6	100	100	1		
No. of Shares Underlying Outstating convertible	(including Warrants)	3	Ĭ		0	TIC.									
		(XI)=(VII)+(X)	(A+B+C2)		0.000	100	0.0000	0.000							
Number of Locked in shares (XII)		(a)			0		0	0							
ber of ed in res TI)		As a % of total	shares held (b)		0.0000		0.0000								
Number of Shares pledged or otherwise encumbered	8	No.	applicable):		N.		NA								
		As a % of total	ahares held (Not applicable)		NA.		NA.								
Number of equity shares held in dematerialize	form (XIV)				14										

Table IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER - NON PUBLIC SHAREHOLDER

